

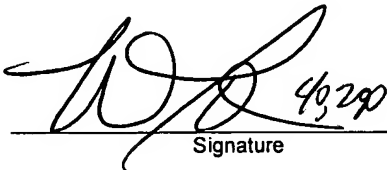


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PRE-APPEAL BRIEF REQUEST FOR REVIEW		Docket Number (Optional) SON-3173	
	Application Number 10/584,994-Conf. #5930	Filed June 29, 2006	
	First Named Inventor Masaaki Bairo		
	Art Unit 2826	Examiner W. W. Kuo	
<p>Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request.</p> <p>This request is being filed with a notice of appeal.</p> <p>The review is requested for the reason(s) stated on the attached sheet(s). Note: No more than five (5) pages may be provided.</p> <p>I am the</p> <p><input type="checkbox"/> applicant /inventor.</p> <p><input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)</p> <p><input checked="" type="checkbox"/> attorney or agent of record. Registration number <u>40,290</u></p> <p><input type="checkbox"/> attorney or agent acting under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34. _____</p> <p> _____ Signature Christopher M. Tobin _____ Typed or printed name (202) 955-3750 _____ Telephone number May 22, 2008 _____ Date</p> <p>NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.</p>			
<input checked="" type="checkbox"/> *Total of <u>1</u> forms are submitted.			



Docket No.: SON-3173  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Masaaki Bairo

Application No.: 10/584,994

Confirmation No.: 5930

Filed: June 29, 2006

Art Unit: 2826

For: BIPOLAR TRANSISTOR, SEMICONDUCTOR  
APPARATUS HAVING THE BIPOLAR  
TRANSISTOR, AND METHODS FOR  
MANUFACTURING THEM

Examiner: W. W. Kuo

**REQUEST FOR PRE-APPEAL BRIEF PANEL REVIEW OF REJECTION**

MS AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This is in response to the Final Office Action of February 22, 2008.

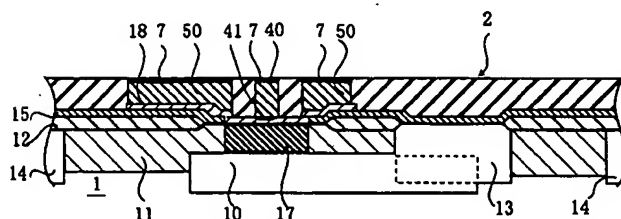
**Regarding claims 9-13 and 15** - The cancellation of claims 9-13 and 15 has been proposed within the After Final Amendment of March 13, 2008.

**Claim 14** - The placement of claim 14 into independent form has been proposed within the After Final Amendment of March 13, 2008.

Claim 14 is drawn to a method for manufacturing a bipolar transistor that includes the step of depositing a silicide (7) onto a polished surface of said conducting film (40, 50).

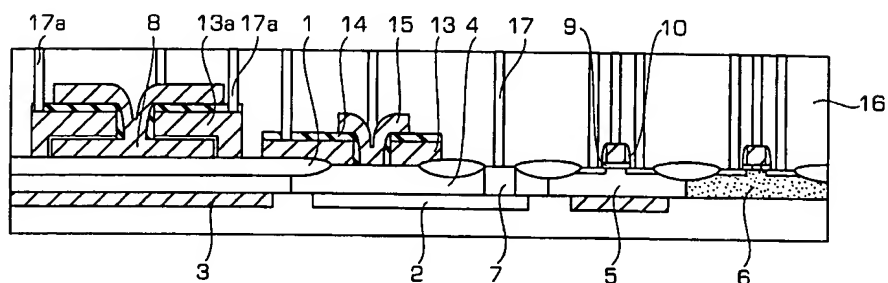
Figure 7 of the specification as originally filed is provided hereinbelow.

FIG. 7



**Fujii** - Figure 9 of Fujii is provided hereinbelow.

FIG. 9



Fujii arguably discloses the presence of a base electrode 13 and an emitter electrode 15 (Fujii at column 4, lines 37 and 39). Fujii, at column 8, lines 27-30, provides that after this, as shown in FIG. 9, a first interlayer insulating film 16 is formed over the entire surface, and holes are made at required positions and filled up with polysilicon, and thereby first layer contacts 17 are formed.

However, the Office Action readily admits that Fujii fails to teach the holes being simultaneously formed (Office Action at page 4).

- Thus, Fujii fails to disclose, teach, or suggest forming base and emitter electrode lead openings within said insulating film, said base electrode lead opening being formed simultaneous with said emitter electrode lead opening.

Whereas Fujii arguably discloses that holes are made at required positions and filled up with polysilicon (Fujii at 8, lines 28-29), the Office Action readily admits that Fujii fails to disclose, teach or suggest polishing the polysilicon to separate the contacts 17.

- Thus, Fujii fails to disclose, teach, or suggest polishing said conducting film to separate said base electrode lead portion from said emitter electrode lead portion.

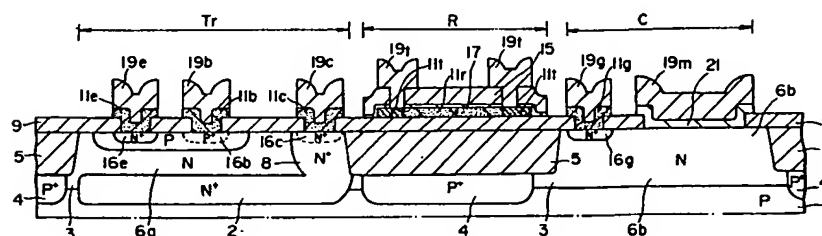
Fujii arguably discloses that in the first and the second embodiments described above, the first electrode of the capacitor and the gate electrodes of the MOSFETs are formed of polysilicon. However, the use of polycide that is silicided polysilicon with titanium, cobalt, molybdenum, tungsten or the like, is preferable, since it reduces the resistance value of the capacitor further down.

However, Fujii fails to teach a silicided base electrode lead portion or a silicided emitter electrode lead portion.

- Thus, Fujii fails to disclose, teach, or suggest depositing a silicide onto a polished surface of said conducting film.

Hozumi - Figure 2M of Hozumi is provided hereinbelow.

FIG. 2M



Hozumi arguably discloses the presence of a base region 7.

However, the Office Action fails to show wherein there is to be found within Hozumi a step of forming the base region 7 on an insulator.

- *Thus, the Office Action fails to show within Hozumi a step of forming a base layer on an insulator, said base layer being in contact with a portion of a semiconductor substrate.*
- *Moreover, the Office Action fails to show that Hozumi teaches polishing said conducting film to separate said base electrode lead portion from said emitter electrode lead portion.*

In addition, the Office Action fails to show wherein there is to be found within Hozumi a silicided base electrode lead portion or a silicided emitter electrode lead portion.

- *Thus, the Office Action fails to show that Hozumi teaches depositing a silicide onto a polished surface of said conducting film.*

**Morimoto** - Morimoto arguably discloses that then, surface polishing by the CMP method is performed starting with the surface of the tungsten film 14 to remove the tungsten and titanium nitride films other than the film portions filled in the via holes, whereby a plug 14 (same reference number as that for the tungsten film is used for the sake of convenience) made of the titanium nitride film and the tungsten film 14 is formed in each via hole 13, as shown in FIG. 2C (Morimoto at column 6, lines 18-24).

Figures 2B-2C of Morimoto are provided hereinbelow.

Fig. 2B

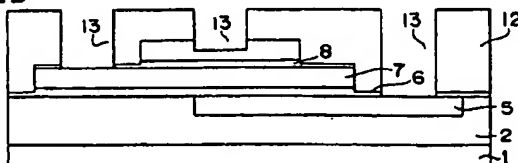
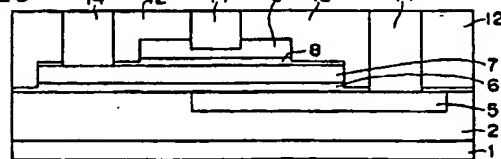


Fig. 2C



Whereas the figures of Morimoto depict a capacitor, Morimoto fails to teach the presence of a bipolar transistor. Additionally, Morimoto arguably discloses a tungsten plug 14 (Morimoto at column 6, line 19). However, Morimoto fails to teach a silicided plug 14.

- ***Thus, Morimoto fails to disclose, teach, or suggest depositing a silicide onto a polished surface of said conducting film.***

**Besser** - Figure 1 of Besser is provided hereinbelow.

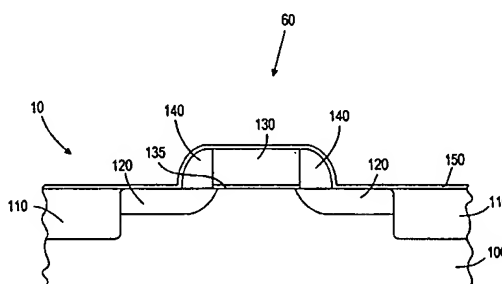


Fig. 1

Besser fails to disclose, teach, or suggest gate region 130 as being polished.

- ***Thus, Besser fails to disclose, teach, or suggest depositing a silicide onto a polished surface of said conducting film.***

Dated: May 22, 2008

Respectfully submitted,

By  40,290

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